

FIRST SECURITIES (HK) LIMITED
第一證券(香港)有限公司

Account No.
賬戶號碼:

(A corporation licensed by the Securities and Futures Commission of Hong Kong to carry on Type 1 (dealing in securities) and Type 9 (asset management) regulated activities under the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong)
(一家根據《證券及期貨條例》(香港法律第571章)獲發牌進行第一類(證券交易)及第九類(提供資產管理)受規管活動的業務之持牌法團)

Central Entity (CE) Number 中央編號: AAA347
Business Address 營業地址: Rooms 1708-1710, 17/F, China Insurance Group Building, 141 Des Voeux Road Central, Hong Kong
香港中環德輔道中141號中保集團大廈17樓1708-1710室

SUPPLEMENTAL ACCOUNT OPENING FORM (FOR JOINT CLIENT)

補充開戶表格 (聯名客戶適用)

Part A 甲部: JOINT CLIENT'S INFORMATION 聯名客戶資料

Name (English / Chinese) 姓名(英文 / 中文)		
ID/Passport No 身分證 / 護照號碼	Place of Issue 簽發地	
Nationality / Citizenship 國籍 / 公民	Date of Birth 出生日期	
Residential Address 住宅地址		
Correspondence Address (if not the same as above) 通訊地址(如與上述地址不同)		
Residential Phone No. 住宅電話	Fax No. 傳真號碼	
Mobile Phone No. 流動電話號碼	E-Mail Address 電郵地址	
Name of Employer 僱主名稱	Years of Service 服務年資	Position 職位
Office Address 辦公地址		
Office Phone No. 辦公電話	Nature of Business of Employer 僱主業務性質	Fax No. 傳真號碼

* Please complete Supplemental Account Opening Form. 請填具補充開戶表格。

Part B 乙部: FINANCIAL PROFILE 財務概況

<ul style="list-style-type: none"> ● Annual Income (Please tick one box) 每年總收入 (請勾選一項) <ul style="list-style-type: none"> <input type="checkbox"/> Under 少於 US \$20,000 <input type="checkbox"/> US \$20,000 - US \$49,999 <input type="checkbox"/> US \$50,000 - US \$99,999 <input type="checkbox"/> US \$100,000 or above 或以上 	<ul style="list-style-type: none"> ● Residence (Please tick one box) 住屋 (請勾選一項) <ul style="list-style-type: none"> <input type="checkbox"/> Self-owned (subject to mortgage) 自置物業 (有按揭) <input type="checkbox"/> Self-owned (no mortgage) 自置物業 (無按揭) <input type="checkbox"/> Rent 租用物業
<ul style="list-style-type: none"> ● Source of income (can choose one or more) 收入來源 (可勾選多項) <ul style="list-style-type: none"> <input type="checkbox"/> Salary / Commission 薪金 / 佣金 <input type="checkbox"/> Savings 儲蓄 <input type="checkbox"/> Dividend / Interest 股息/利息 <input type="checkbox"/> Business Profit 業務溢利 <input type="checkbox"/> Investment Return 投資獲利 <input type="checkbox"/> Rent 租金 	<ul style="list-style-type: none"> ● Origins of Fund (Please tick at least one box) 資金來源地 (請勾選至少一項) <ul style="list-style-type: none"> <input type="checkbox"/> Hong Kong 香港 <input type="checkbox"/> Taiwan 台灣 <input type="checkbox"/> China 中國 <input type="checkbox"/> Singapore 新加坡 <input type="checkbox"/> USA 美國 <input type="checkbox"/> Others 其他: _____
<ul style="list-style-type: none"> ● Liquid Assets (Please tick one box) 流動資產 (US\$) (請勾選一項) <ul style="list-style-type: none"> <input type="checkbox"/> <10,000 <input type="checkbox"/> 10,001 - 100,000 <input type="checkbox"/> 100,001 - 500,000 <input type="checkbox"/> 500,001 - 1,000,000 <input type="checkbox"/> >1,000,001 	<ul style="list-style-type: none"> ● Total net worth 資產淨值: _____ US \$ _____

Part C 丙部: INVESTMENT EXPERIENCE 投資經驗

Please choose the years of investment experience of each investment product 請勾選每一投資產品的投資年期
(Please provide relevant documentary proof 請提供有關證明文件)

Type of Investment Product 投資產品類型	Years of Investment Experience 投資年期				
	Nil 無	< 3 years 三年以下	3 to 5 years 三至五年	5 to 10 years 五至十年	> 10 years 十年以上
Equities 證券	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Warrants 窩輪* / Stock Options 股票期權* / CBBC 牛熊證*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Futures & Options 期貨及期權*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Exchanged Traded Fund (ETF) 交易所買賣基金	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Hedge Funds 對沖基金*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Structured Products 結構性產品*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed Income Products (e.g. bonds, etc.) 固定收益產品(如: 債券等)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Others 其他: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*The above type of investment product belongs to high risk and/or extremely high risk categories. 上述投資產品類型屬於「高風險」及/或「極高風險」類別。

